



Imay 2839

FEE TRANSMITTAL

Complete if known

Application Number: 09/835,106

Filing Date: April 13, 2001

First Named Inventor: Steinberg

Group Art Unit: 2839

Examiner Name: Michael C. Zarroli

Total Amt. of Payment: (1)+(2)+(3)= \$0

Attorney Docket Number: R&H 02-12

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to:

☐ Charge indicated fees

☒ Charge additional fees

☒ Credit overpayments

to the account of DANN, DORFMAN, HERRELL & SKILLMAN

Deposit Account Number 04-1406

2. Payment enclosed:

Check in the amount of \$0

FEE CALCULATION

1. FILING FEE

Fee

Fee Description

Utility filing fee

Design filing fee

Plant filing fee

Reissue filing fee

Provisional filing fee

SUBTOTAL (1) \$0

FEE CALCULATION (continued)

ADDITIONAL FEES

Fee Description

Fee Paid

Surcharge-late filing fee or oath

Surcharge - late provisional filing fee or cover sheet

Extension for response within first month

Extension for response within second month

Extension for response within third month

Extension for response within fourth month

Notice of Appeal

Filing a brief in support of an appeal

Request for oral hearing

Petition to revive unavoidably abandoned application

Petition to revive unintentionally abandoned application

Issue fee

Petitions to the Commissioner

Petitions related to provisional applications

Submission of Information Disclosure Stmt.

Recording each patent assignment per property

Other fee (specify) Advance Order (10 copies)

Other fee (specify)

SUBTOTAL (3) \$0

2. Claims

Paid Extr Fee

Total Claims 42 -42 = 0 x 18 = 0

Independent Claims 7 -7 = 0 x 84 = 0

Multiple Dependent

(First presentation)

SUBTOTAL (2) \$0

Submitted By:

Typed or

Printed Name Niels Hau

Reg. Number 48,488

Signature [Signature]

Date March 9, 2004

Deposit Account User ID

04-1406



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of **Steinberg**

Application No. 09/835,106

Attorney Docket No.: R&H 02-12; DN: 51922 (ACT - 126/127)

Filed: April 13, 2001

For: OPTICAL WAVEGUIDE SWITCH

Examiner: Michael C. Zarroli

Group Art Unit: 2839

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to Commissioner for Patents, Alexandria, VA 22313-1450

3.9.2004
Date of Certificate

C. Donahue
Cristin Donahue

Commissioner for Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of the RCE. However, Applicants have not yet received an action on the merits of the application. Accordingly, Applicants believe that no fee is required pursuant to 37 C.F.R. §1.97 (b) (3). In the event a fee is required, the Commissioner is authorized to charge the required fees to deposit account no. 04-1406. A duplicate copy of this sheet is included for fee processing, if necessary.

Applicants respectfully request full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN
A Professional Corporation
Attorneys for Applicant(s)

By



Niels Haun

PTO Registration No. 48,488

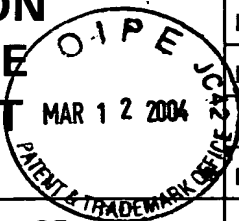
Telephone: (215) 563-4100

Facsimile: (215) 563-4044

Enclosures - Form PTO-1449

Copies of references listed on PTO - 1449

INFORMATION DISCLOSURE STATEMENT



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Application Number: 09/835,106

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SHEET 1 OF 1

Attorney Docket Number: R&H 02-12;
DN: 51922 (ACT - 126/127)

UNITED STATES PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
		5727099	03/10/1998	Harman
		5757991	05/26/1998	Harman
		6625356	09/23/2003	Ticknor et al.

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT
		DE 3927441 (with English translation)	Germany	02/21/1991	Eicher, Joachim et al.
		EP0476241 (with English translation)	European Office	03/25/1992	Hirschmann, Richard

OTHER PRIOR ART - NON-PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

EXAMINER'S SIGNATURE		DATE CONSIDERED	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.